



Docket No. 2000-073
PATENT

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on

11-21-02

By:

Suzanne Shadley
Suzanne Shadley

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | |
|-----------------------|--|----------------------|
| In re Application of: | Liu et al. | |
| Serial No.: | 09/679,127 | Group Art Unit: 1711 |
| Filed: | 10/3/00 | |
| For: | ABA-Type Block Copolymers Having A Random Block Of Hydrophobic And Hydrophilic Monomers And Methods Of Making Same | Examiner: Mullis, J. |

Assistant Commissioner for Patents
Washington D.C. 20231

TRANSMITTAL LETTER

Sir:

Transmitted herewith (check all that apply):

- | | |
|---|--|
| <input type="checkbox"/> Preliminary Amendment | <input type="checkbox"/> Information Disclosure Statement |
| <input checked="" type="checkbox"/> Response/Amendment | <input type="checkbox"/> Petition Under 37 CFR 1.97(d)(2) |
| <input type="checkbox"/> Response/Amendment After Final | <input type="checkbox"/> Formal Drawings |
| <input type="checkbox"/> Supplemental Amendment | <input type="checkbox"/> Declaration Under 37 CFR 1.131 |
| <input type="checkbox"/> Affidavits/Declarations | <input type="checkbox"/> Declaration Under 37 CFR 1.132 |
| <input type="checkbox"/> Declaration and Power of Attorney | <input type="checkbox"/> Terminal Disclaimer |
| <input type="checkbox"/> Supplemental Declaration | <input type="checkbox"/> Small Entity Statement |
| <input type="checkbox"/> Power of Attorney | <input type="checkbox"/> Request for Refund |
| <input type="checkbox"/> Change of Correspondence Address | <input type="checkbox"/> Appeal |
| <input type="checkbox"/> Associate Power of Attorney | <input type="checkbox"/> Petition |
| <input type="checkbox"/> Response to Missing Parts | <input checked="" type="checkbox"/> Petition For Extension of Time (2 months) |

to be filed in the above-identified patent application.

RECEIVED
DEC 02 2002
TC 1700

TOTAL AMOUNT OF PAYMENT: \$400.00

METHOD OF PAYMENT

The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 50-0496

Deposit Account Name: Symyx Technologies, Inc.

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Charge the Issue Fee Set it 37 CFR 1.18 at the Mailing of the Notice of Allowance

FEE CALCULATION

1.EXTRA CLAIM FEES

| | | Extra Claims | | Fee from below | | Fee Paid |
|--|--|--------------|--|----------------|--|----------|
| Total Claims | | -20** = | | X | | |
| Independent Claims | | -3** = | | X | | |
| Multiple Dependent Claims (first appearance) \$280/140 | | | | | | |

**or number previously paid, if greater; For Reissues, see below

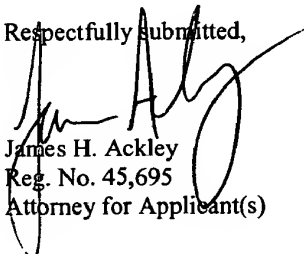
| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description |
|----------------------|-----------------------|----------------------|-----------------------|---|
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 84 | 202 | 42 | Independent claims in excess of 3 |
| 104 | 280 | 204 | 140 | Multiple dependent claim, if not paid |
| 109 | 84 | 209 | 42 | **Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | **Reissue claims in excess of 20 and over original patent |
| | | | | SUBTOTAL (2) (\$) |

2. ADDITIONAL FEES

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|--------------------------|-----------------------|----------------------|-----------------------|--|---------------|
| 105 | 130 | 205 | 65 | Surcharge – late filing fee or oath | |
| 127 | 50 | 227 | 25 | Surcharge – late provisional filing fee or cover sheet | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 116 | 400 | 216 | 200 | Extension for reply within second month | \$400.00 |
| 117 | 920 | 217 | 460 | Extension for reply within third month | |
| 118 | 1440 | 218 | 720 | Extension for reply within fourth month | |
| 128 | 1,960 | 228 | 980 | Extension for reply within fifth month | |
| 119 | 320 | 219 | 160 | Notice of Appeal | |
| 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | |
| 121 | 280 | 221 | 140 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive – unavoidable | |
| 141 | 1,280 | 241 | 640 | Petition to revive – unintentional | |
| 142 | 1,280 | 242 | 640 | Utility issue fee (or reissue) | |
| 143 | 460 | 243 | 230 | Design issue fee | |
| 144 | 620 | 244 | 310 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Petitions related to provisional applications | |
| 126 | 180 | 126 | 180 | Submission of Information Disclosure Statement | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 740 | 246 | 370 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149 | 740 | 249 | 370 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| Other fee (specify) | | | | | |
| SUBTOTAL (3) (\$) | | | | | 400.00 |

*Reduced by Basic Filing Fee Paid

Date: November 21, 2002

Respectfully submitted,

 James H. Ackley
 Reg. No. 45,695
 Attorney for Applicant(s)

Symyx Technologies
 3100 Central Expressway
 Santa Clara, California 95051
 Tel.: (408) 764-2000 Fax: (408) 773-4029